

# AUNDE FAZE THREE Autofab Limited

(Formerly known as AUNDE India Limited)

Corporate Office : 63-64, 6th Floor, Mittal Court, C Wing, Nariman Point, Mumbai – 400 021, India

Tel :91 (22) 6242 1313/ 4351 4444 Fax:91 (22) 2287 2637 E-mail : [info@aundeindia.com](mailto:info@aundeindia.com) Website: [www.aundeindia.com](http://www.aundeindia.com)

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May 30, 2019

Corporate Relationship Department  
BSE Limited,  
Rotunda Building,  
P.J. Towers, Dalal Street,  
Fort, Mumbai – 400 001.

Dear Sirs,

**Sub: Annual Secretarial Compliance Report for the year ended March 31, 2019**

**Ref : BSE Stock Code 532459**


Pursuant to Regulation 24A of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, we are enclosing the Annual Secretarial Compliance Report for the financial year 2018-19.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully

**For AUNDE FAZE THREE Autofab Limited**

  
**AKRAM SATI**  
**COMPANY SECRETARY**  
M No. 50020



Encl A/a

**AUNDE FAZE THREE Autofab Limited**

(Formerly Known as AUNDE India Limited)

(CIN: L17120DN1997PLC000196)

**Regd. Office:** Plot No. 146, Waghdhara Village Road, Dadra – 396 193, UT of D&NH  
Ph: +91 260 2668539 Fax: +91 260 2668501



## **SANJAY DHOLAKIA & ASSOCIATES**

BCOM LLB FCS

### **COMPANY SECRETARIES**

GP 15, 2nd Floor, Raghuleela Mall, Behind Poincur Bus Depot, Kandivali (West), Mumbai - 400 067.  
☎ : 2807 3233 / 62363233 / 98700 31365 • E-mail : sanjayrd65@gmail.com

**Secretarial compliance report of AUNDE FAZE THREE AUTOFAB LIMITED  
(formerly know as AUNDE INDIA LIMITED) for the year ended 31<sup>st</sup> March, 2019**

**I Sanjay Dholakia, Practising Company Secretary have examined:**

- (a) All the documents and records made available to us and explanation provided by Aunde Faze Three Autofab Limited (formerly known as Aunde India Limited) ("the listed entity").
- (b) The filings / submission made by the listed entity to the stock exchanges,
- (c) Website of the listed entity.

**For the year ended ("Review Period") in respect of compliance with the provisions of:**

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contract (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulation, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI Act");

**The specific Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined, include:-**

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) Securities and Exchange Board of India (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) (Amendment) Regulations, 2018
- (e) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018  
And circulars / guidelines issued thereunder.

Note: The aforesaid list of SEBI regulations is only the list of Regulations which were applicable to the Company during the year under review.

**And based on the above examination, I thereby report that, during the Review Period:**

- (a) The listed entity has complied with the provisions of the above Regulations and circulars / guidelines issued thereunder, except in respect of matters specified below:-





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Sr. No.	Compliance Requirement (Regulations/Circulars/Guidelines including specific clause )	Deviations	Observations/Remarks Of The Practicing Company Secretary
	NIL	NIL	NIL

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars / guidelines issued thereunder insofar as it appears from my /our examination of those records
- (c) The following are the details of actions taken against the listed entity / its promoters / directors / material subsidiaries either by SEBI or by Stock Exchanges (including under the standard operating procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars /guidelines issued thereunder :

Sr. No.	Action Taken By	Details Of Violation	Details Of Action Taken E.G. Fines, Warning Letter, Debarment, Etc.	Observations/ Remarks Of The Practicing Company Secretary, If Any.
	NIL	NIL	NIL	NIL

The listed entity has taken the following actions to comply with the observations made in previous reports: **This being the First Report since the notification issued by SEBI on 8<sup>th</sup> February, 2019, the reporting on actions to comply with the observations made in the previous years reports do not arise.**

For SANJAY DHOLAKIA & ASSOCIATES

*Dholakia Sanjay*  
(SANJAY DHOLAKIA)  
Practicing Company Secretary  
Proprietor

Place: Mumbai  
Date: 30<sup>th</sup> May, 2019  
FCS 2655 CP 1798

